

First Trimester Fetal Genetic Analysis: It's Not All About Down's Syndrome

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Disclosures

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Relevant Financial Relationships:

Received small honoraria from the following companies for giving scientific lectures:
Ariosa Diagnostics; Illumina, Inc.; Sequenom, Inc.
These lectures did not endorse the companies or their products and focused completely on the science.

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Learning Objectives

After completing this presentation, the learner will be able to:

- 1: Understand the screening approaches to fetal aneuploidy and other fetal genetic disorders
- 2: Understand the information available through diagnostic testing by CVS in the first trimester
- 3: Improve the ability to counsel patients on genetic screening and diagnostic approaches.

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Lecture Outline

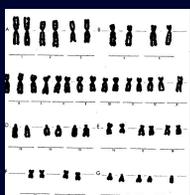
- Principals of Screening
- Characteristics of an Ideal Prenatal Screening Test
- Screening For Down Syndrome
- Calculation of Likelihood Ratio
- Prospective Study Outcomes - Combining Mat age, NT, and Biochemistry
- Cell free fetal DNA in the Maternal Circulation
- Meta-Analysis of NIPT Performance 2016
- Cell free DNA in Low Risk Patients
- Cell free fetal DNA: Clinical Challenges
- Value of NT and Biochemical Screening Beyond Common Aneuploidy
- Value of NT and Biochemical Screening Beyond Common Aneuploidy
- Early Diagnosis of Structural Anomalies with Ultrasound
- Invasive Testing Methods - What is the Risk?

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THE LANCET, JUNE 18, 1977

WHO'S FOR AMNIOCENTESIS?

Virtually all chromosomal aberrations and many biochemical disorders can be detected by amniocentesis and prenatal diagnosis. Although errors do occur in cytogenetic and biochemical investigations,^{1,2} there is a strong case for prenatal

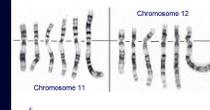


Screening for Down Syndrome		
Maternal Age		
Cut-Off	Liveborn Risk of Down Syndrome	Second Trimester Risk of Down Syndrome
Age 35	1:380	1:270

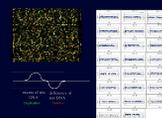
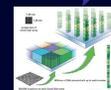
...the age limit is arbitrarily decided by logistical concerns and is not the consequence of a sudden biologic difference between women above and below any given risk.

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Banding Resolution



Resolution:
>7-10 Mb



Resolution:
< 200 kb

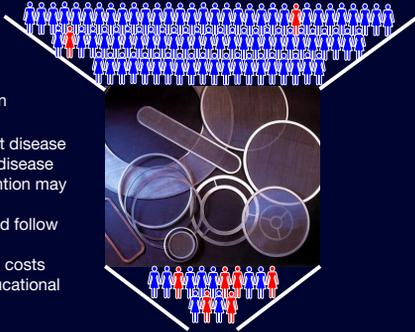


Resolution:
1 base pair

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Principals of Screening

Identify a high risk group who may need to consider further testing



- Healthy population
- Reliable
- Relatively frequent disease
- Impairing or fatal disease
- Beneficial intervention may be possible
- Prompt testing and follow up
- Benefits outweigh costs
- Voluntary and educational

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Characteristics of an Ideal Prenatal Screening Test

- High sensitivity - Identifies a high percentage of affected individuals
- High specificity - Does not alarm a high percentage of unaffected individuals
- Positive early enough in gestation to allow maximal options and safety
- Easy and inexpensive to perform

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Screening For Down's Syndrome

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Maternal Age is a Screening Test

Age @ Delive ry	DS Risk	Total CA Risk
20	1/166	1/526
25	7	1/476 (1/4)
30	1/125	1/385 (%)
31	0	1/385
32	1/950	1/322
33	1/909	1/286
34	1/769	1/238
35	1/602	1/192 (1/2)
36	1/485	1/156 (%)
37	1/378	1/127
38	1/289	1/102
39	1/224	1/83

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Maternal Age Screening for Down Syndrome



"...the age limit is arbitrarily decided by logistical concerns and is not the consequence of a sudden biologic difference between women above and below any given risk".

NICHD, 1979

Maternal Age ≥ 35

Risk Cutoff: (Second Trimester) 1:270
 Population at Risk (FPR) 14.2%
 Detection Rate 50%
 Detection Rate for 5% FPR 30%
 Odds of Being Affected 1:100

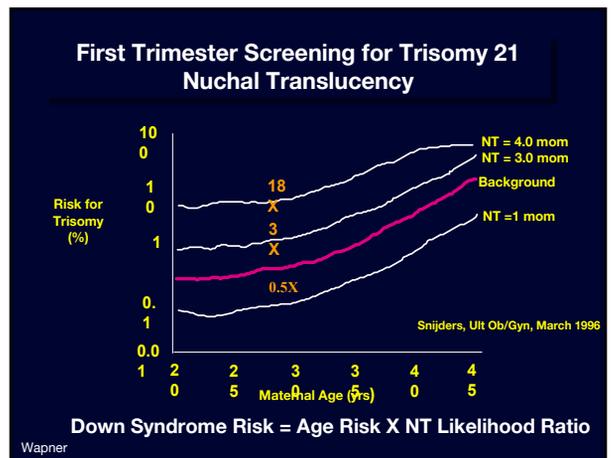
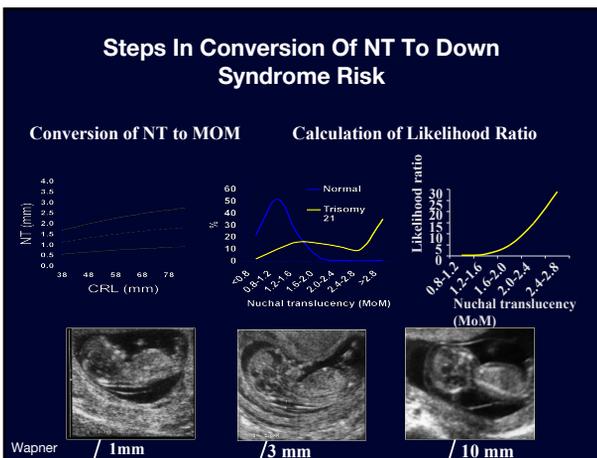
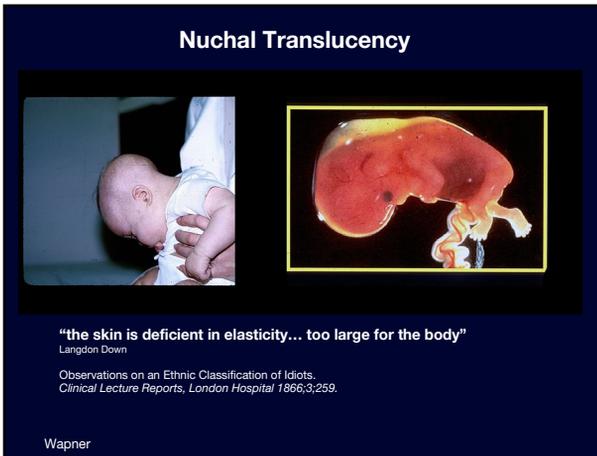
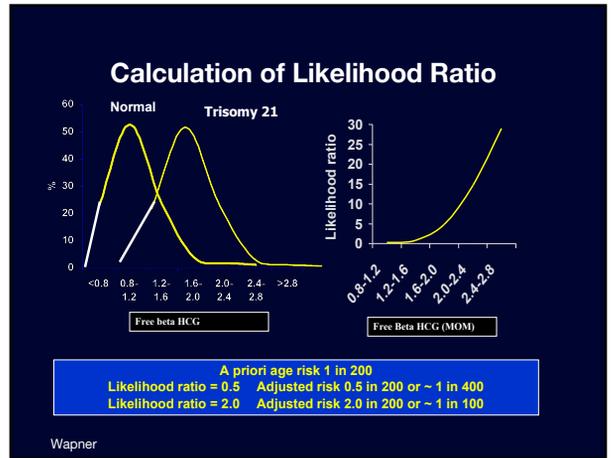
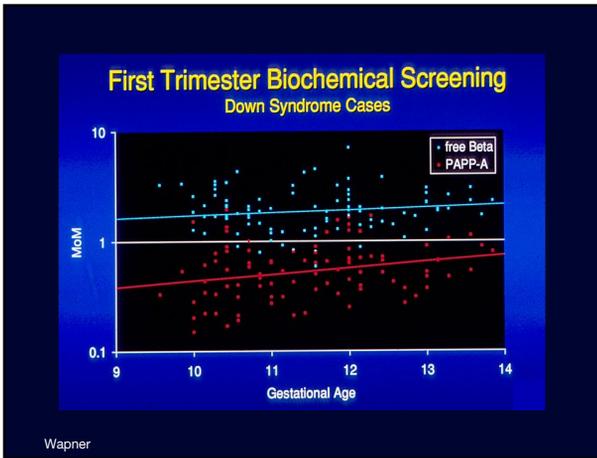
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Down Syndrome screening has moved from the second to the first trimester

More Accurate
 Safer
 Patients Prefer

Performing invasive testing for maternal age alone can no longer be justified

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Combined First Trimester Screening for Trisomy 21

Re-evaluation of Risk Based on Multiple Markers

Variable	Result	Ratio
Maternal age	30 years old	1:525
NT (mm)	2.0 MOM	2.2
Biochemical risk:	HCG - 1.8 MOM	2.9
HCG + PAPP A	PAPP A - .6 MOM	

Final Risk (1:525 x 2.2 x 2.9)

1:82

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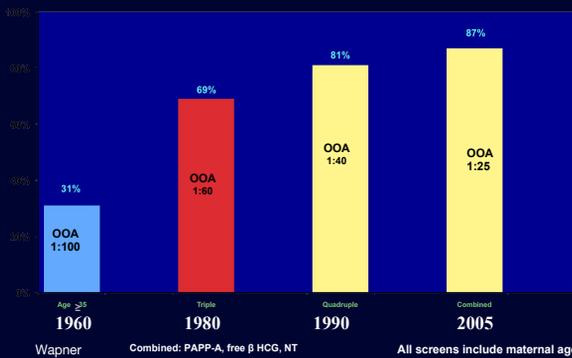
Prospective Study Outcomes Combining Mat age, NT, and Biochemistry

First Trimester DR at 5% SPR (1:270)

Study	Patients	Down Cases	Detection Rate
BUN	8,216	48/61	79%
FaSTER	33,557	100/117	86%
SURUSS	47,053	84/101	83%
Nicolaides	75,821	301/325	93%
TOTAL	167,210	533/604	88%

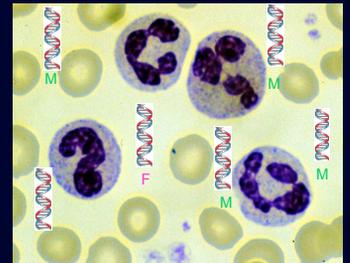
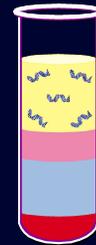
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Down Syndrome Screening Approach: Observed Detection Rates for 5% FPR



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Evolving Appreciation of the Top Layer of the Gradient

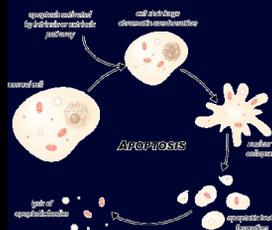


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Cell free fetal DNA in the Maternal Circulation

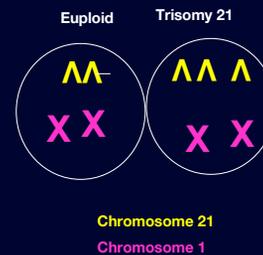
Where does Cell Free DNA come from?

- Circulating cells go through a life cycle, which ends in programmed cellular death called **apoptosis**
 - As a result of apoptosis, DNA gets cleaved into small fragments 150 to 200 base pairs long
- DNA molecules that are released into the blood from dead or apoptotic cells are no longer part of intact cells and are called "cell free DNA"
- During pregnancy, maternal blood contains a mixture of **both** maternal and fetal cell free DNA



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Relative Chromosome Dosage (RCD)



Ratio Chromosome 21:1

In Placenta:

Euploid	Trisomy 21
1:1	3:2

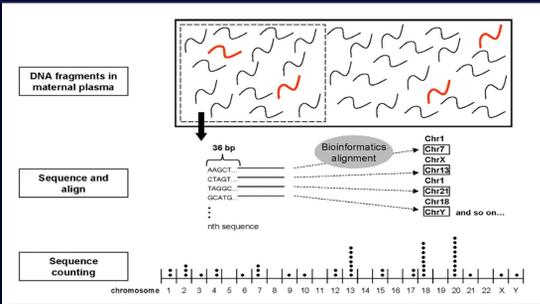
In Maternal Circulation

10% Fetal DNA/90% Maternal DNA

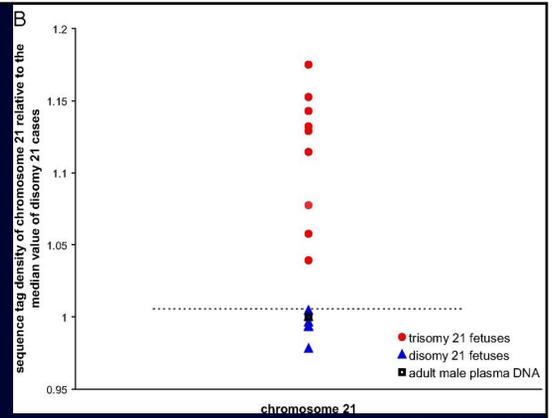
Euploid	Trisomy 21
1:1	1.05:1

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Mass Parallel (Shotgun) Sequencing Analysis of Fetal DNA



Wapner Zhong, X, Holzgreve, W, *Glob. libr. women's med* 2009



Wapner Fan et al, 2008

Meta Analysis of NIPT Performance 2016

High Risk		Sens	FPR	PPV
High Risk				
	Trisomy 21	91	0.3	91
	Trisomy 18	84	0.3	84
	Trisomy 13	87	0.1	87
Low Risk	Trisomy21	95.9	0.1	82
	Trisomy18	86.5	0.2	37
	Trisomy 13	77.5	0.1	49

Trisomy	Sens	FPR
Sex Chrom	86%	0.6%

No difference by MPSS (24), targeted sequencing(9) or SNP (5)

Wapner Taylor Phillips et al: *BMJ Open* 20

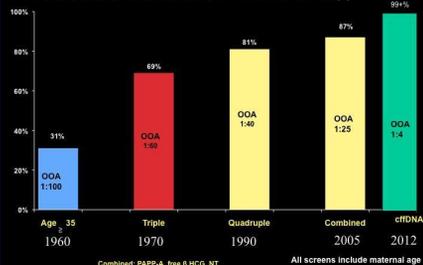
cfDNA in Low Risk Patients

	Maternal Age <35 (DS 1:630)	FTS <1/270 (DS 1:1870)
Total	11,994	14,957
Sensitivity	100%	100%
Specificity	99.95%	99.95%
PPV	76.0%	50.0%
NPV	100%	100%
LR+	1996	1869
LR-	0	0

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cffDNA An Excellent Screening Test

Down Syndrome Screening Approach: Observed Detection Rates for 5% FPR



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Yearly Change in Volume of Prenatal Diagnostic Procedures 2008-2013



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cffDNA: Clinical Challenges

- No call tests: 3-5%
 - Failure to extract adequate material
 - Individual variation in amount of cffDNA
- False Negative Results

Fetal Fraction	Expected ratio for Trisomy
4%	1.02
10%	1.05
20%	1.10
40%	1.20

Low fetal fraction associated with maternal BMI
 20% at >250 lbs
 50% at >350 lbs

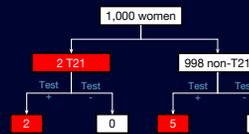
It is not known if repeating test will provide a result

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cffDNA: Clinical Challenges

False positives

T21 prevalence: 1 in 500
 99% detection 0.5% false positive



# of false positives at different FPR			
5%	1%	0.6%	0.1%

For 5000 Delivery Service

Contamination
 Unrecognized or vanishing twin
 Confined Placental mosaicism
 Low level maternal mosaicism

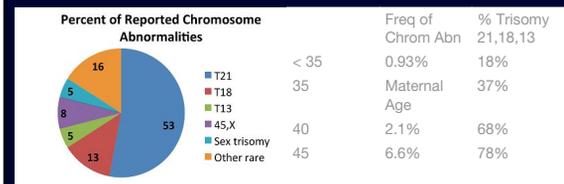
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Value of NT and Biochemical Screening Beyond Common Aneuploidy

- Chromosome Abnormalities Other than Common Trisomies
- Early Identification of Structural Abnormalities
 - Congenital Heart Disease
 - Other Anomalies
- Mendelian Genetic Disorders
- Poor Pregnancy Outcome
 - Placental Function
 - Obstetrical Disorders

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Advanced Maternal Age: Residual Risk for a Cytogenetic Abnormality after cffDNA



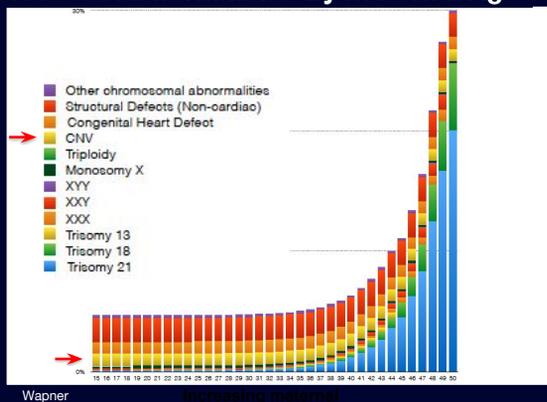
Amniocentesis Performed for AMA

Ferguson-Smith, M.A. Prenatal Diag 1984

Data adapted from Wellesley, D. et al., Rare chromosome abnormalities, prevalence and prenatal diagnosis rates from population-based congenital anomaly registers in Europe. Eur J of Hum Gen, 11 January 2012.

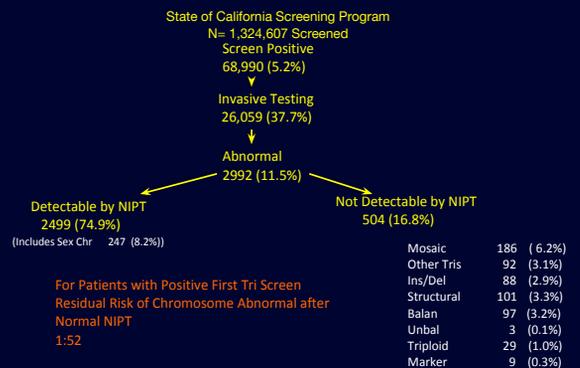
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Rate Of Abnormalities By Maternal Age



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Positive First Trimester Screen Residual Risk for a Karyotype Abnormality after NIPT



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Norton:SMFM

Detection Rate and FPR for ALL Chromosome Abnormalities Sequential Screen vs cfDNA

N= 452,901
N = 2575 Chromosomal Abnormality (1:176)

California Prenatal Screening Program with mandated reporting of all chromosome abnormalities diagnosed prenatally or at age ≤ 1 y

	Detection Rate	FPR
cfDNA	70.5%	1.5%
Sequential	81.6%	4.1%

Detectable by cfDNA : T13,18,21, or sex chromosomal aneuploidy
Not detectable by cfDNA: Rare aneuploidies, large deletions and duplications, etc

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Value of NT and Biochemical Screening Beyond Common Aneuploidy

- **Chromosome Abnormalities Other than Common Trisomies**
- **Early Identification of Structural Abnormalities**
 - Congenital Heart Disease
 - Other Anomalies
- **Mendelian Genetic Disorders**
- **Poor Pregnancy Outcome**
 - Placental Function
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Its Not All NT: Value of Biochemistry

Karyotype	Total	NT <3.0 mm	Percent with NT <3.0 mm
Tsomy 21	47	22	46.8%
Tsomy 18	16	6	37.5%
Tsomy 13	6	3	50%
Tsomy 16	1	1	100%
47,XXY	4	3	75%
45,X	9	0	0%
Triploidy	2	2	100%
70,XXXXY	1	1	100%
47,XY,+7/46,XY	1	1	100%
47,XX,+5/46,XX	1	1	100%
47,XY,+13/46,XY	1	1	100%
47,XX,+22/46,XX	1	1	100%
47,XY,+22/46,XY	1	1	100%
92,XXXXY/46,XY	1	1	100%
46,XY,inv(1)(p13q21)	1	1	100%
46,XY,t(5;21)(p13;p21.2)	1	1	100%
46,XY,t(5;17)(p13;p24)	1	1	100%
46,XY,t(9;13)(p24)	1	1	100%
46,XY,t(4)(q4;q)	1	1	100%
Marker chromosome	1	0	0%
Total	97	48	49.5%

Alamillo C. Prenatal Diagnosis 2013

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Increased NT and Structural Anomalies



Cardiac defects / failure

Intrathoracic compression

Abnormal lymphatic system

Neuro-muscular abnormalities

Altered composition of dermis

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Increased Nuchal Translucency, Normal Karyotype and Structural Anomaly

NT	Anomaly
<95%	<2%
95-99%	3%
3.5-4.4 mm	10%
4.5-5.4 mm	19%
5.5-6.4 mm	24%
> 6.5 mm	46%

Souka, AJOG 2005;192:1005-21

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Early Diagnosis of Structural Anomalies with Ultrasound

Author	Population	N	1 st Tri Sensitivity	1 st + 2 nd Tri Sensitivity
Economides, 1998 ¹	Low-risk	1632	65% (11/17)	82% (14/17)
Whitlow, 1999 ²	Unselected	6443	59% (37/63)	81% (51/63)
Chen 2004 ³	≥ 35 y.o.	1609	54% (14/26)	77% (20/26)
Grande 2012	Unselected (nl Karyo)	13,723	49% (96/194)	N/A

1. BJOG 1998
2. BJOG 1999
3. Prenatal Diagn 2004
4. Int Obs Gyn 2012

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First Trimester Anatomy Screening

- Increasing number of first trimester scans
 - 11 - 14 wk
- Improved high frequency transducers
 - Better visualization of fetal anatomy
 - First opportunity to detect structural anomalies

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What anatomy can we see at 11-14 weeks?

Organ	Successful visualization (n (%))	
	Transabdominal scan	Transabdominal & transvaginal scan
Head/Brain	1123 (98.16)	1144 (100)
Face	1049 (91.69)	1135 (99.21)
Spine	1111 (97.11)	1141 (99.73)
Abdomen	1108 (96.85)	1142 (99.82)
Stomach	1099 (96.06)	1133 (99.03)
Kidneys	892 (77.97)	1002 (87.58)
Bladder	1035 (90.47)	1136 (99.30)
Extremities	1126 (98.42%)	1144 (100%)

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Souka et al. UOG 2004

First Trimester Anatomic Survey: Detection Rates

- Majority of > 20 published studies = two-staged protocols with an 11-14 wk scan followed by an 18-22 wk scan
- First-trimester detection rates range 16-84%
 - Majority reported detection rates > 50%
- After the second trimester ultrasound, two-stage protocols reported detection rates of 48-95%
 - Highest detection rates in studies screening high-risk women
 - In fetuses with multiple anomalies
 - At 13-14 weeks
 - Using a combined TA/TV approach

Timor-Tritsch I, Fuchs K, Monteagudo A, and D'Alton ME. Performing a fetal anatomy scan at the time of first-trimester screening. *Obstet Gynecol* 2009; 113:402-407.

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First Trimester Structural Anatomy: Detection rates

Almost Always	Usually	Sometimes	Never
Acrania Anencephaly Ectopia cordis Encephalocele Limb Body Wall Alobar Holopros	Gastroschisis Limb reduction Omphalocele	Arthrogryposis Cardiac defects Dandy-Walker Facial cleft Skeletal dysplasia Spina bifida	Pulmonary ACC Bowel

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First Trimester Anencephaly



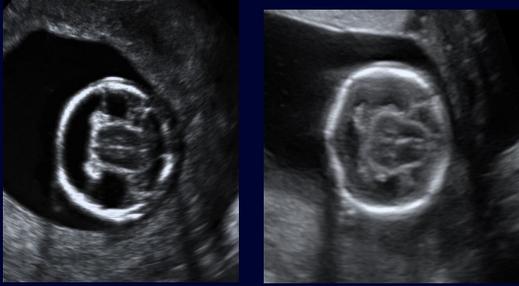
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First Trimester Encephalocele



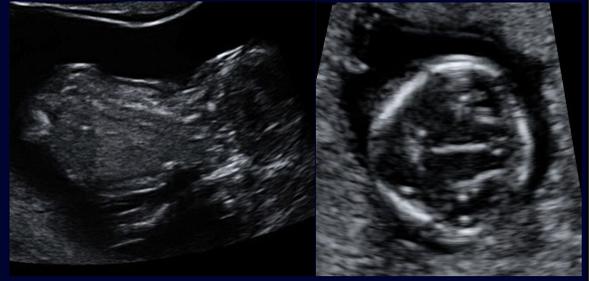
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Almost Always Detectable: Alobar Holoprosencephaly



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Sometimes Detectable: Spine abnormalities



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Increased Nuchal Translucency and CHD

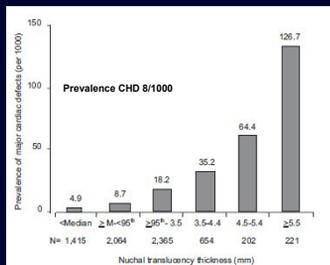


Normal NT

Nuchal translucency screening can identify 30% of fetuses with major CHD using threshold NT of 3.5 mm or a 99th percentile for gestational age



Increased NT

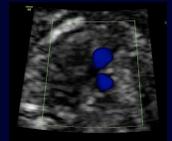


Nuchal translucency screening can identify 30% of fetuses with major CHD using threshold NT of 3.5 mm or ≥ 99th percentile for gestational age

Khalil and Nicolaides, Seminars in Fetal and Neonatal Medicine 2013

Role of Early Echocardiography

- Cardiac situs
- 4-chamber view
- LVOT
- RVOT
- 3-vessel view
- Aortic arch
- Ductal arch
- SVC and IVC
- Pulmonary veins



Biggest benefit: early reassurance

Johnson and Simpson, Am J Perinatol 2007

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Carman and John Traill
Center for Prenatal Pediatrics

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First Trimester Fetal Echocardiography: Learning Curve

Parameter	N=103
4-chamber view	100%
Tricuspid regurgitation	100%
Outflow tract crossover	90%
Bifurcating pulmonary artery	81%
3-vessel view	55%
Aortic arch	76%
SVC/IVC	65%
Doppler DV	99%

A complete exam was feasible in 55% of cases in <10 minutes



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Abu-Rustum, et al. J Ultrasound Med 2011

First Trimester Fetal Echocardiography

Overview of Heart Anomalies Detected on First-Trimester Sonography

Authors	GA, wk	No.	Anomalies Detected
DeVore et al (1987) ²¹	14	1	VSD, PS
Gembruch et al (1990) ²²	11	1	AVSD
Bronstein et al (1990) ²³	13-14	2	TOF
Bronstein et al (1991) ²⁴	12-16	10	DORV, AVSD, VSD, TOF, HLV, SA-SV
Gembruch et al (1993) ²⁵	11-16	5	AVSD, PS, SV
Achiron et al (1994) ²⁶	10-12	8	Tachycardia, ectopia cordis, AVSD, Uhl anomaly, TA, TOF
Carvalho et al (1998) ¹⁰	12	1	AVSD
Arelas et al (1998) ²⁶	12-13	2	AVSD
Baschat et al (1999) ²⁷	11-14	4	Heart block, AVSD, DORV, TGA, PS
Haak et al (2002) ²⁸	11-14	10	AVSD, VSD, DORV, HLHS
Huggon et al (2002) ²⁹	10-14	60	AVSD, HLV, VSD, Ebstein anomaly, TA, PA, LI

- Sensitivity of first trimester fetal echocardiography for major CHD varies from 10% in low-risk populations to >50% in high-risk groups

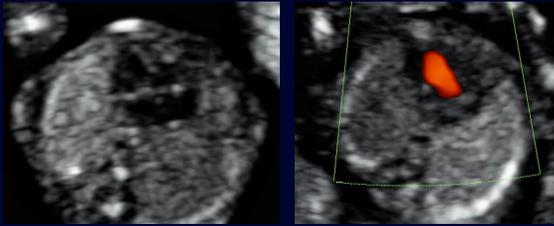
Haak and van Vugt, J Ultrasound Med 2003

Volpe et al, Prenatal Diagnosis 2011

Rossi and Prefumo, Obstet Gynecol 2013

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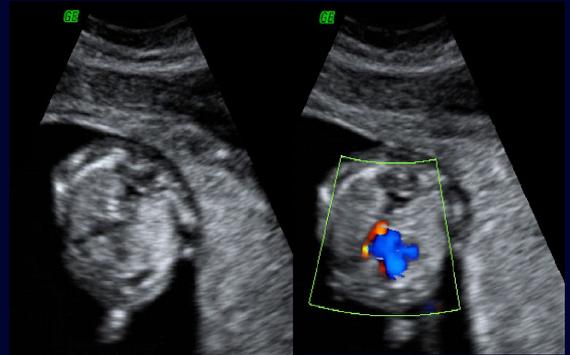
Complete Atrioventricular Canal Defect



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13 weeks

Hypoplastic Left Heart Syndrome



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11

Transposition of the Great Arteries



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15 weeks

Limitations of Early Echocardiography

Development of CHD later in intrauterine fetal life
 - mild pulmonary and aortic stenosis, coarctation, hypoplastic left heart syndrome, rhabdomyomas, cardiomyopathy

Detection of defects that may resolve in utero
 - muscular ventricular septal defects

Transabdominal approach may be insufficient
 - 92% complete cardiac exam transvaginally versus 84% transabdominally

(Huggon et al, 2002)

Lower diagnostic accuracy
 - Lower detection rate and higher false positive rate

(Haak and van Vugt, 2003)



11 weeks

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Genetic Disorders Detected In Fetuses With Enlarged Nuchal Translucency

	Euploid fetuses (n)	NT (mm)	Genetic disorders (including neurodevelopmental delay)
Mangione et al., 2001	202	≥ 3mm	0.5%
Souka et al., 2001	1320	≥ 3.5 mm	3.3%
Senat et al., 2002	89	≥ 4 mm	6.4%
Bilardo et al., 2007	425	≥ 95 th %	5.4%
Total	2271		4.4%

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Bilardo Prenatal Diagnosis 2010

Increased NT and Normal karyotype Genetic Disorders

Genetic Syndromes

Akinesia deformation
 Noonan syndrome
 Smith-Lemli-Opitz
 Beckwith syndrome
 Fryn syndrome
 Zellweger syndrome
 Trigonocephaly Csyndrome
 Spinal muscular atrophy
 GM1-gangliosidosis

Skeletal Dysplasias

Thanatophoric dysplasia
 Jarcho-Levine syndrome
 Achondrogenesis
 Asphyxiating thoracic dysplasia
 Campomelic dysplasia
 Nance Sweeney Syndrome
 Robert syndrome
 VACTER association
 EEC syndrome



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Elevated NT ≥ 3.5 mm

	N	Pathogenic Mutation	VOUS	Total Abnormal
Noonan Testing	483	5.2%	2.3%	7.5%
Microarray	291	2.7%	2.4%	5.1%
Total		7.9%	4.7%	12.6%



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Coletta et al; AJOG 2014

Noonan Syndrome

Overall Frequency: \uparrow 1:1000 -1:2500
 Frequency with NT > 4 mm 2-5:100

Mutated Gene	%
PTPN11	50%
SOS1	10%-13%
RAF1	3%-17%
KRAS	<5%
NRAS	rare
BRAF	<2%
MAP2K1	<2%

Overall 75%-80%

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FOXC2

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Prevalence and Etiology of Congenital Abnormalities (It's Not All Down Syndrome)

Prevalence	
Common Trisomies (21,18,13)	0.2%
Chromosome Abnormalities Other than Common Trisomies	0.4%
Microdeletions and duplications	1.5%
Mendelian Genetic Disorders	0.4%
Structural Congenital Abnormalities	3.0%
Congenital Heart Disease	0.3%

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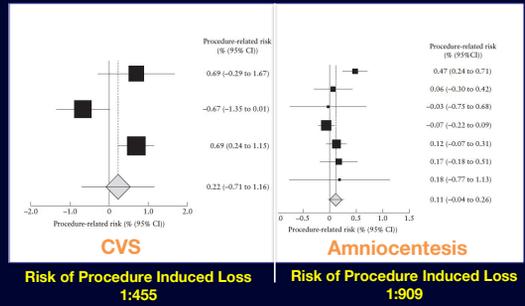
Invasive Testing Methods

What is the Risk?



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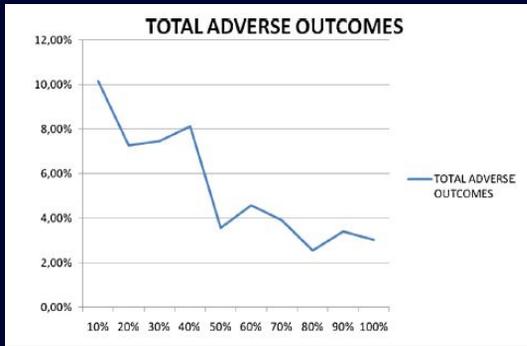
Systematic Review: Risk of Diagnostic Procedure



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Akolekar *Ult Obs Gynecol* 2015

Impact of Experience on Amniocentesis Outcomes



Fetal loss rate was reduced from 0.5% during the first half to 0.3%

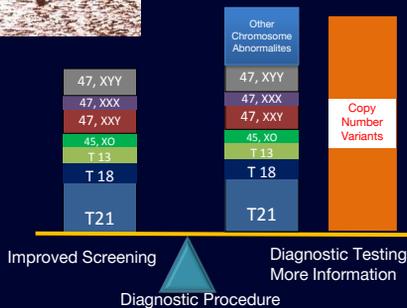
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Margioulis-Starkou; *Eur J of Obs and Gyn and Repro Biol* 2012

Decreasing CVS Loss Rates With Experience (NICHD)

Year Range	N CVS	Total SAB RATE	Excess SAB Rate CVS over Amnio
1985 – 87 •Rhoads et al., <i>NEJM</i> , 1989	2278	3.2%	0.8% (NS)
1987 – 89 •Jackson et al., <i>NEJM</i> , 1992	3873	2.4%	
1997 – 2001 •Philip et al <i>Obstet/Gynecol</i> , 2004	1878	1.3%	

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Overall Risk of a Congenital Abnormality (Its not all Down Syndrome)

Abnormality	Prevalence
Common Trisomies (21,18,13)	0.2%
Chromosome Abnormalities Other than Common Trisomies	0.4%
Microdeletions and duplications	1.0%
Mendelian Genetic Disorders	0.4%
Structural Congenital Abnormalities	3.0%
Congenital Heart Disease	0.3%
Poor Pregnancy Outcome	

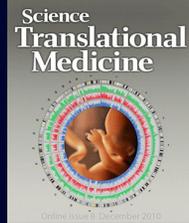
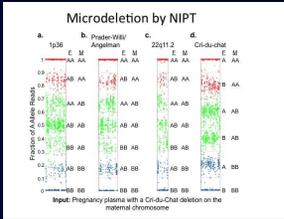
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Where Are We Going ?

Non-invasive sequencing of the fetal genome is likely to be a reality in the not-too-distant future

Tremendous counseling and ethical issues

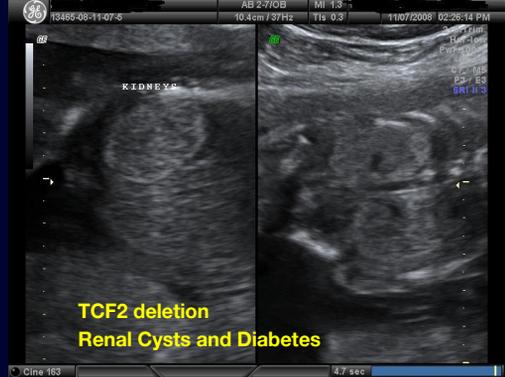
- Uncertain Reassurance
- Counseling
- Scope Creep



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CVS: Normal Karyotype

Array: arr 17q12(31464079-33406373)x1



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Conclusions

- Prenatal aneuploidy screening is intended to identify women at the highest risk for a fetal chromosome abnormality
- There are presently two approaches to screening in the first trimester each with its own advantages and disadvantages:
 - Combined Screening using biochemistry and nuchal translucency:
 - Advantage:
 - » low cost
 - » Includes first trimester scan
 - » Nuchal translucency identifies additional structural and genetic disorders
 - Disadvantage:
 - » Sensitivity only 85- 90%; Specificity 97%

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Conclusions (cont.)

- Cell free DNA
 - Advantage
 - » Sensitivity for trisomy 21 over 99%; Specificity 99.9%
 - Disadvantage
 - » Expensive
- All patients should be informed of the availability and value of fetal diagnostic Testing by CVS or amniocentesis
 - Identifies all chromosome abnormalities
 - Identifies sub chromosomal microdeletions and duplications
- Pretest counseling is imperative

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Key References

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